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AUGUST 24, 2000

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HUNTON & WILLIAMS
THOMAS J. SCOTT, JR.
1900 K. STREET, N.W. SUITE 1200
WASHINGTON, D.C. 20006-1109



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/28/2000

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SULLIVAN, KEVIN

DOC DATE: 06/26/2000

ASSIGNEE:

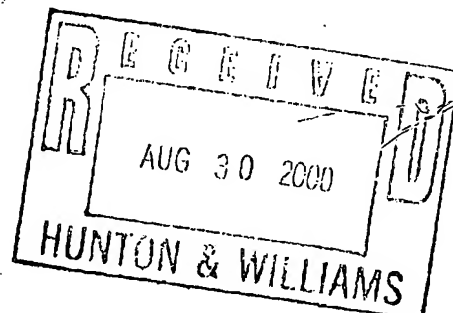
BANK ONE CORPORATION
1 BANK ONE PLAZA
CHICAGO, ILLINOIS 60070

SERIAL NUMBER: 09511362
PATENT NUMBER:

FILING DATE: 02/23/2000
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

REVIEWED



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PAT. APPLNS. & PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks, Wash., D.C. 20231

SIR: Please record the attached original document or copy thereof on which the particulars are:

1. Name of conveying party(ies) (assignor(s)):

Kevin SULLIVAN

Additional name(s) of conveying party(ies) attached? []yes [X]no

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Address: Bank One Corporation1 Bank One Plaza, Chicago, Illinois 60070Country: U.S.

Additional name(s) & address(es) attached? []yes [X]no

3. Nature of Conveyance:☒ AssignmentExecution Date: June 26, 2000☐ Merger☐ Change of Name☐ Verified Translation☐ Security Agreement☐ Other4. Execution date(s) on the Declaration if filed herewith: June 26, 2000

4.5 Application number(s) or patent number(s). Others on additional sheet(s) attached? []yes [X]no

A. Patent Application No(s).
(series code/serial number)First Inventor
if not in Item 1

09/511,362

B. Patent No(s).

First Inventor
if not in Item 1

5. Name and address of party to whom correspondence concerning document should be mailed:

Thomas J. Scott, Jr.
Hunton & Williams
1900 K Street, N.W., Suite 1200
Washington, D.C. 20006-1109
(see telephone number below)

6. Number involved:

Appls. 1 + Pats: = Total 1

7. Amount of fee enclosed:

Above Total x \$40 = \$40.00

8. If above fee missing or inadequate charge insufficiency to Deposit Account number 50-0206 (duplicate sheet not required.)

5.5 Atty. Dkt.

47004.000067

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

George B. Georgellis10. Total number of pages
including this cover sheet
(Do NOT file dup. cover sheet)

3

Attorney: George B. GeorgellisReg. No. 43,632Date: June 28, 2000Atty/Sec: GBG/vr

Tel: 202-955-1500

Fax: 202-778-2201

ASSIGNMENT

WHEREAS, I, Kevin Sullivan, residing at 918 Blackshire Road, Wilmington, Delaware 19805, a citizen of United States, (the "Assignor"), have made an invention entitled

MUTUAL FUND CARD METHOD AND SYSTEM

described in the application for United States Letters Patent filed on February 23, 2000 as Application Serial Number 09/511,362;

WHEREAS, **BANK ONE CORPORATION** (the "Assignee"), having a place of business at 1 Bank One Plaza, Chicago, Illinois 60670, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and to the application and all Letters Patent of the United States or any foreign country, including continuations, continuations-in-part, reissues, reexaminations, extensions, substitutes and divisions which may be granted therefor;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said Assignor, by these presents do sell, assign, set over and transfer unto Assignee, its successors, legal representatives and assigns, the full and exclusive right in and to the said invention as described in the said application, and in and to any Letters Patent of the United States or any foreign country, including continuations, continuations-in-part, reissue, reexaminations, extensions, substitutes and divisions which may be granted therefor and all rights to claim priority on the basis of said application;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said Assignee;

AND I HEREBY warrant and covenant that we have the full right to convey the entire interest herein assigned and that we have not executed and will not execute any instrument or assignment in conflict herewith;

AND I HEREBY agree to communicate to said Assignee or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, continuation-in-part,

reissue, reexamination, extension, substitute and foreign applications, sign all lawful documents and make all rightful oaths and declarations relating to said inventions, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors, legal representatives or assigns, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said Assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said Assignee.

Signature of Inventor:
Inventor's Name:

Kevin Sullivan
Kevin Sullivan

Date of Execution:

6/26/2000

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

COUNTY OF New Castle)
STATE OF Delaware) ss:
)

On this 26th day of June, 2000, before me a Notary Public in and for the County and State aforesaid, personally appeared Kevin Sullivan, to be known and known to me to be the person of the name, who signed and sealed the foregoing instrument, and acknowledged the same to be of his free act and deed.

(SEAL)

Patricia Bright
Notary Public

My commission Expires: Dec. 17, 2001

PATRICIA D. BRIGHT
NOTARY PUBLIC
DELAWARE
APPOINTED DEC. 17, 1999 - 2 YEARS

06-23-00 11:39

From-HUNTON

LLIAMS

2027782201

T-266 P.02/05 F-733

ATTORNEY DOCKET NO. 47004.000067

SOLE DECLARATION FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled MUTUAL FUND CARD METHOD AND SYSTEM, the specification of which

☐ is attached hereto

☒ was filed on February 23, 2000 as Application Serial Number 09/511,362 and was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a)

Prior Foreign Application(s)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed Under 35 U.S.C. 119
				Yes <input type="checkbox"/> No <input type="checkbox"/>
				Yes <input type="checkbox"/> No <input type="checkbox"/>

Prior United States Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below

Application Serial Number	Date of Filing (day, month, year)

Prior United States Application(s)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned

And I hereby appoint, both jointly and severally, as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names:

Thomas J. Scott, Jr., Registration No. 27,836; Stanislaus Aksman, Registration No. 28,562; James G. Gatto, Registration No. 32,694; Christopher C. Campbell, Registration No. 37,291; Henry C. Su, Registration No. 37,738; Brian M. Buroker, Registration No. 39,125; Charles F. Hollis, Registration No. 40,650; Jonathan D. Link, Registration No. 41,548; Kevin J. Dunleavy, Registration No. 32,024; Kevin T. Duncan, Registration No. 41,495; Charles L. Lobsenz, Registration No. 37,857; George Georgeellis, Registration No. 43,632; Stephen T. Schreiner, Registration No. 43,097; Christopher J. Cuneo, Registration No. 42,450; Raphael A. Valencia, Registration No. 43,216; Scott D. Balderston, Registration No. 35,436; Steve P. Klocinski, Registration No. 39,251; Yisun Song, Registration No. 44,487; Matthew J. Pryor, Registration No. 45,278; Jennifer A. Albert, Registration No. 32,012; Kerry Owens, Registration No. 37,412; Milan M. Vinnola, Registration Number 45,979; Devin S. Morgan, Registration No. 45,562; Andrew J. Ririe, Registration No. P45,979; Carl Benson, Registration No. 39,378

All correspondence and telephone communications should be addressed to Hunton & Williams, 1900 K Street, N.W., Washington, D.C. 20006-1109, telephone number (202) 955-1500, which is also the address and telephone number of each of the above listed attorneys

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon

Signature

Kevin M. Sullivan

Date

6/26/00Full Name of
Sole InventorSULLIVAN
Family NameKEVIN
First Given Name

Second Given Name

Residence

918 Blackshire Road, Wilmington, Delaware 19805

Citizenship

United States

Post Office
Address

Same

HUNTON & WILLIAMS
1900 K Street, NW, Suite 1200
Washington, D.C. 20006-1109
Tel. (202) 955-1500
Fax (202) 778-2201